Views and Queries: Determinacy and Rewriting

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ABSTRACT

We investigate the question of whether a query Q can be answered using a set $\mathbf{V}$ of views. We first define the problem in information-theoretic terms: we say that $\mathbf{V}$ determines Q if $\mathbf{V}$ provides enough information to uniquely determine the answer to Q. Next, we look at the problem of rewriting Q in terms of $\mathbf{V}$ using a specific language. Given a view language $\mathcal{V}$ and query language $\mathcal{Q}$, we say that a rewriting language $\mathcal{R}$ is complete for $\mathcal{V}$-to-$\mathcal{Q}$ rewritings if every $Q \in \mathcal{Q}$ can be rewritten in terms of $\mathbf{V} \in \mathcal{V}$ using a query in $\mathcal{R}$, whenever $\mathbf{V}$ determines Q. While query rewriting using views has been extensively investigated for some specific languages, the connection to the information-theoretic notion of determinacy, and the question of completeness of a rewriting language, have received little attention. In this paper we investigate systematically the notion of determinacy and its connection to rewriting. The results concern decidability of determinacy for various view and query languages, as well as the power required of complete rewriting languages. We consider languages ranging from first-order to conjunctive queries.

1. INTRODUCTION

The question of whether a given set of queries on a database can be used to answer another query arises in many different contexts. Recently, this has been a central issue in data integration, where the problem is framed in terms of query rewriting using views. In the exact local as view (LAV) flavor of the problem, data sources are described by views of a virtual global database. Queries against the global database are answered, if possible, by rewriting them in terms of the views specifying the sources. A similar problem arises in semantic caching: answers to some set of queries against a data source are cached, and one wishes to know if a newly arrived query can be answered using the cached information, without accessing the source. Yet another framework where the same problem arises (only in reverse) is security and privacy. Suppose access to some of the information in a database is provided by a set of public views, but answers to other queries are to be kept secret. This requires verifying that the disclosed views do *not* provide enough information to answer the secret queries.

The question of whether a query Q can be answered using a set $\mathbf{V}$ of views can be formulated at several levels. The most general definition is information theoretic: $\mathbf{V}$ *determines* Q (which we denote $\mathbf{V} \twoheadrightarrow Q$) iff $\mathbf{V}(D_1) = \mathbf{V}(D_2) \rightarrow Q(D_1) = Q(D_2)$, for all database instances D_1 and D_2. Intuitively, determinacy says that $\mathbf{V}$ provides enough information to uniquely determine the answer to Q. However, it does not say that this can be done effectively, or using a particular query language. The next formulation is language specific: a query Q can be *rewritten* in terms of $\mathbf{V}$ using a rewriting language $\mathcal{R}$ iff there exists some query $R \in \mathcal{R}$ such that $Q(D) = R(\mathbf{V}(D))$ for all databases D. Let us denote this by $Q \Rightarrow_{\mathbf{V}} R$. As usual, there are two flavors to the above definitions, depending on whether database instances are unrestricted (finite or infinite) or restricted to be finite. The finite flavor is the default in all definitions, unless stated otherwise.

What is the relationship between determinacy and rewriting? Clearly, if $Q \Rightarrow_{\mathbf{V}} R$ for some R then $\mathbf{V} \twoheadrightarrow Q$. The converse is generally not true. Given a view language $\mathcal{V}$ and query language $\mathcal{Q}$, if $\mathcal{R}$ can be used to rewrite a query Q in $\mathcal{Q}$ in terms of a set of views $\mathbf{V}$ in $\mathcal{V}$ whenever $\mathbf{V} \twoheadrightarrow Q$, we say that $\mathcal{R}$ is a *complete* rewriting language for $\mathcal{V}$-to-$\mathcal{Q}$ rewritings. Clearly, a case of particular interest is when $\mathcal{Q}$ itself is complete for $\mathcal{V}$-to-$\mathcal{Q}$ rewritings, because then there is no need to extend the query language in order to take advantage of the available views.

Query rewriting using views has been investigated in the context of data integration for some query languages, primarily conjunctive queries (CQs). Determinacy, and its connection to rewriting, has not been investigated in

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the relational framework. For example, CQ rewritings received much attention in the LAV data integration context, but the question of whether CQ is complete as a rewriting language for CQ views and queries has not been addressed.

In this paper we undertake a systematic investigation of these issues. We consider view languages $\mathcal{V}$ and query languages $\mathcal{Q}$ ranging from first-order logic (FO) to CQ and study two main questions:

(i) is it decidable whether $\mathbf{V} \twoheadrightarrow Q$ for $\mathbf{V}$ in $\mathcal{V}$ and Q in $\mathcal{Q}$?

(ii) is $\mathcal{Q}$ complete for $\mathcal{V}$-to-$\mathcal{Q}$ rewritings? If not, how must $\mathcal{Q}$ be extended in order to express such rewritings?

It is easily seen that determinacy becomes undecidable as soon as the query language $\mathcal{Q}$ is powerful enough so that satisfiability of sentences in $\mathcal{Q}$ becomes undecidable. The same holds if validity of sentences in $\mathcal{V}$ is undecidable. Thus, (i) is moot for such languages, in particular for FO queries and views. However, determinacy is also undecidable for much weaker languages. Indeed, we show undecidability even for views and queries expressed as unions of conjunctive queries (UCQs). This is shown by a direct reduction of the word problem for finite monoids, known to be undecidable [19]. The question remains open for CQs, and appears to be quite challenging. Determinacy becomes decidable for special classes of CQs, such as CQs with Boolean or unary answer. Interestingly, the *unrestricted* variant of determinacy is easier to settle: it is decidable for CQs, and equivalent to the existence of a CQ rewriting of the query in terms of the view.

Before summarizing our results on question (ii), we mention two problems that are closely related, and that can be fruitfully used to gain insight into (ii). Suppose $\mathbf{V} \twoheadrightarrow Q$. Consider the query $Q_{\mathbf{V}}$ associating to each view answer to $\mathbf{V}(D)$ the corresponding query answer $Q(D)$, where D is a database instance. In other words, $Q = Q_{\mathbf{V}} \circ \mathbf{V}$. To answer (ii), it is useful to understand the properties of the queries $Q_{\mathbf{V}}$, since this provides information on the rewriting language needed to express them. One useful piece of information is the complexity of computing the answer to $Q_{\mathbf{V}}$ given a view instance $\mathbf{V}(D)$, also known as the *query answering problem*. We occasionally consider the complexity of query answering as a tool for resolving (ii). Other useful information on $Q_{\mathbf{V}}$ concerns properties such as (non-)monotonicity, closure under extensions, etc. Again, we use such information to establish properties required of a language for rewriting Q in terms of $\mathbf{V}$.

We consider again languages ranging from FO to CQ. We only mention the results for some key combinations of query and view languages, that imply the results for most other combinations. In the unrestricted case, FO turns out to be complete for FO-to-FO rewritings, as a consequence of Craig's Interpolation theorem [10]. Unfortunately this does not extend to the finite case: FO is no longer complete for FO-to-FO rewritings, and indeed the query answering problem for this case is Turing complete. In fact, we show that any language complete for FO-to-FO rewritings must express all computable queries.

For views expressed in weaker languages, less powerful rewriting languages are needed. If views are expressed in $\exists$FO (existential FO), FO is still not complete for $\exists$FO-to-FO rewritings. However, both $\exists$SO and $\forall$SO (existential and universal second-order logic formulas) are complete for such rewritings. In fact, this is a lower bound: we show that every language complete for $\exists$FO-to-FO rewritings must be able to express all queries in $\exists\text{SO} \cap \forall\text{SO}$. The lower bound holds even if views are restricted to UCQs. The proof uses results on the expressive power of implicit definability [21, 16]. It turns out that FO does not become complete as a rewriting language even if queries are in $\text{CQ}^{\neg}$ (CQ with safe negation). This uses the fact, shown by Gurevich, that there exist order-invariant queries defined by FO with access to an order on the domain that are not definable in FO without order (see Exercise 17.27 in [2]).

Consider UCQ views and queries. Similarly (but for different reasons), UCQ is not complete for UCQ-to-UCQ rewritings, nor are much more powerful languages such as Datalog $^{\neq}$. This is due the fact that, for UCQ views $\mathbf{V}$ and queries Q such that $\mathbf{V} \twoheadrightarrow Q$, the query $Q_{\mathbf{V}}$ is generally not monotonic. Thus, any language complete for UCQ-to-UCQ rewritings must be able to express non-monotonic queries. This also turns out to hold for $\text{CQ}^{\neq}$-to-CQ rewritings.

The rewriting problem remains open for CQs. Thus, it is not known whether CQ is complete for CQ-to-CQ rewritings. In fact, the following questions are all open and turn out to be equivalent:

1. CQ is complete for CQ-to-CQ rewritings;
2. for CQ views $\mathbf{V}$ and queries Q, $\mathbf{V} \twoheadrightarrow Q$ on finite instances iff $\mathbf{V} \twoheadrightarrow Q$ on unrestricted instances; and,
3. for CQ views $\mathbf{V}$ and queries Q, the query $Q_{\mathbf{V}}$ is monotonic.

Interestingly, in the unrestricted case, CQ is complete for CQ-to-CQ rewritings. However, the proof technique, based on the chase, does not carry over to the finite case.

Related work Answering queries using views arises in numerous contexts including data integration [26], query optimization and semantic caching [12], data warehousing [4], support of physical data independence by describing storage schemas as views [13, 25, 27], etc.

The problem comes in several flavors, depending on assumptions on the views and their use. Mainly, the different settings vary along these dimensions:

(i) assumptions on the views: these may be *exact* (i.e. contain precisely the set of tuples in their definitions), or just *sound* (they provide only a subset of the tuples in the answer)

(ii) how the views are used: *query rewriting* requires reformulating the query in terms of the views, using some query language. One may require an *equivalent* rewriting, or just a *maximally contained* one. Another use of views is called *query answering*. This consists of finding all *certain* answers to a query given an instance of the view [1].

In our investigation, we focus on exact view definitions, and equivalent query rewritings, with the accompanying information-theoretic notion of determinacy. We also consider the complexity of the query answering problem, but only in the case when the view determines the query (so the certain and possible answers coincide). Results on equivalent query rewriting using exact views have focused primarily on CQs and UCQs. It is shown in [22] that it is NP-complete whether a given (U)CQ query has an equivalent (U)CQ rewriting in terms of given (U)CQ views. Several polynomial-time special cases are identified for CQs in [11]. Answering queries using views in the presence of binding patterns is considered in [24]. Views and queries defined by CQs with arithmetic comparisons over dense orders are considered in [3], where it is shown that the existence of an equivalent rewriting using Datalog with comparisons is decidable. The problem for recursive queries is considered in [14], where it is shown that it is undecidable if a Datalog query can be rewritten using some Datalog program in terms of a set of CQ views. Answering queries using views in semi-structured databases represented by directed labeled graphs is considered in [6, 7, 8]. Here the views and queries are defined by regular path expressions, possibly including inverse edge navigation. In [6] it is shown that the exact rewriting problem is 2EXPSPACE-complete.

The relation of rewriting to the information-theoretic notion of determinacy has received little attention. In [17, 18], Grumbach and Tininini consider the problem of computing an aggregate function using a given set of aggregate functions including count, average, sum, product, maximum. In particular, [18] introduces the notion of *subsumption* of a query by a view, which is identical to our notion of determinacy. Using this, they define completeness of a rewriting algorithm, and produce such an algorithm for simple aggregate functions on a single relation. Despite the similarity in flavor, none of the results transfer to the setting we consider.

In [8], the authors consider the notion of *lossless* view with respect to a query, in the context of regular path queries on semi-structured data. Losslessness is considered under the exact view assumption and under the sound view assumption. In the first case, losslessness is equivalent to determinacy and it remains open whether losslessness is decidable for regular path views and queries. In the second case, losslessness is shown to be decidable using automata-theoretic techniques. Again, these results have no bearing upon ours because of the differences in the settings and because we consider exact views.

Suppose a set of exact views $\mathbf{V}$ does *not* determine a query Q. In this case one is typically interested to compute from a view extent E the *certain* answers to Q, that is $cert_Q(E) = \cap\{Q(D) \mid \mathbf{V}(D) = E\}$. The rewriting problem is now to find a query R in some rewriting language $\mathcal{R}$, such that for every extent E of $\mathbf{V}$, $R(E) = cert_Q(E)$. If such R exists for every $\mathbf{V} \in \mathcal{V}$ and $Q \in \mathcal{Q}$, let us say that $\mathcal{R}$ is complete for $\mathcal{V}$-to-$\mathcal{Q}$ rewritings of certain answers. Clearly, if $V \twoheadrightarrow Q$ then for every database D, $Q(D) = cert_Q(\mathbf{V}(D))$. Thus, every rewriting language that is complete for $\mathcal{V}$-to-$\mathcal{Q}$ rewritings of certain answers must also be complete for for $\mathcal{V}$-to-$\mathcal{Q}$ rewritings according to our definition. In particular, our lower bounds on the expressive power of languages complete for $\mathcal{V}$-to-$\mathcal{Q}$ rewritings still hold for languages complete for $\mathcal{V}$-to-$\mathcal{Q}$ rewritings of certain answers. The analogous rewriting problem arises also in the case of sound views, but there is no straightforward connection to our results.

Organization After introducing some basic concepts in Section 2, we discuss determinacy and rewriting in the unrestricted case (in which instances can be finite or infinite) in Section 3. Finite determinacy is discussed next in Section 4 and finite rewriting in Section 5.

2. BASIC CONCEPTS AND NOTATION

We begin with some basic definitions and notation. A database schema σ is a finite set of relation symbols with associated non-negative arities. A relation with arity zero is referred to as a *proposition*. A database instance D over σ associates a relation $\mathrm{D}(R)$ of appropriate arity with values from some fixed infinite domain **dom** to each relation symbol R in σ (true/false for propositions). The active domain of an instance D consists of the set of elements in **dom** occurring in D and is denoted $adom(D)$. The set of all instances over σ is denoted by $\mathcal{I}(\sigma)$. By default, all instances are assumed to be finite unless otherwise specified. Queries are defined as usual, as computable mappings from instances of an input schema to instances of an output schema that are generic, i.e. commute with isomorphisms of **dom** (e.g., see [2]). We assume familiarity with the query languages in Figure 1. As usual in query languages, all of these languages (over relational vocabulary) may refer to values from **dom**, always interpreted as themselves. This differs from constants in logic, which are part of the vocabulary and are interpreted as arbitrary values from the universe of the structure.

NOTATION	LANGUAGE
FO	first-order logic over relations
$\exists$FO	existential FO
$\exists$SO	existential second-order logic
$\forall$SO	universal second-order logic
CQ	conjunctive queries without $=, \neq$
UCQ	unions of conjunctive queries
(U)CQ$^{=}$ (U)CQ$^{\neq}$	(U)CQs extended with $=, \neq$

Figure 1: Query languages used in the paper

Let σ and $\sigma_{\mathbf{V}}$ be database schemas. A *view* $\mathbf{V}$ from $\mathcal{I}(\sigma)$ to $\mathcal{I}(\sigma_{\mathbf{V}})$ is a set consisting of one query $Q_V : \mathcal{I}(\sigma) \to \mathcal{I}(V)$ for each $V \in \sigma_{\mathbf{V}}$. We refer to σ and $\sigma_{\mathbf{V}}$ as the input and output schemas of $\mathbf{V}$, respectively.

Consider a query Q over schema σ and a view $\mathbf{V}$ with input schema σ and output schema $\sigma_{\mathbf{V}}$. We say that $\mathbf{V}$ *determines* Q, denoted $\mathbf{V}{\twoheadrightarrow}Q$, iff for all $D_1, D_2 \in \mathcal{I}(\sigma)$, if $\mathbf{V}(D_1) = \mathbf{V}(D_2)$ then $Q(D_1) = Q(D_2)$. Suppose $\mathbf{V}{\twoheadrightarrow}Q$ and let R be a query over $\mathcal{I}(\sigma_{\mathbf{V}})$. We say that Q can be *rewritten* in terms of $\mathbf{V}$ using R iff for each $D \in \mathcal{I}(\sigma)$, $Q(D) = R(\mathbf{V}(D))$. In other words, $Q = R \circ \mathbf{V}$. This is denoted by $Q \Rightarrow_{\mathbf{V}} R$. Note that several R's may satisfy this property, since such R's may behave differently on instances in $\mathcal{I}(\sigma_{\mathbf{V}})$ that are not in the image of $\mathbf{V}$.

Let $\mathcal{Q}$ be a query language and $\mathcal{V}$ a view language. A query language $\mathcal{R}$ is *complete* for $\mathcal{Q}$-to-$\mathcal{V}$ rewritings iff for every $Q \in \mathcal{Q}$ and $\mathbf{V} \in \mathcal{V}$ for which $\mathbf{V}{\twoheadrightarrow}Q$, there exists $R \in \mathcal{R}$ such that $Q \Rightarrow_{\mathbf{V}} R$.

3. UNRESTRICTED DETERMINACY AND REWRITING

We begin by considering the unrestricted variant of determinacy and rewriting, in which database instances are allowed to be arbitrary (finite or infinite). We look at the two important extremes along the spectrum of languages we study: FO and CQ. For FO, determinacy is clearly undecidable (the proof is the same as for the finite case, see Proposition 4.1). However, FO is complete for FO-to-FO rewritings. For CQ, determinacy is decidable and CQ is complete for CQ-to-CQ rewritings.

We begin with the completeness of FO.

THEOREM 3.1. *In the unrestricted case, FO is complete for FO-to-FO rewritings.*

PROOF. In this proof we will consider extensions of relational schemas with a finite set of constant symbols. If the schema contains constants, an instance over the schema provides values to the constants in addition to the relations. For FO sentences φ, ψ over the same schema, we use the notation $\varphi \models \psi$ to mean that every instance (finite or infinite) satisfying φ also satisfies ψ.

Suppose $\mathbf{V}{\twoheadrightarrow}Q$ over arbitrary instances, where $\mathbf{V}$ and Q are FO views and queries over schema σ. Let σ_1, σ_2 be disjoint copies of σ, and $\mathbf{V}_i, Q_i$, $i = 1, 2$, be the versions of $\mathbf{V}$ and Q using σ_1 and σ_2. Given two queries Q and Q' with the same output schema we will write $Q = Q'$ for the formula $\forall \bar{x}\ Q(\bar{x}) \leftrightarrow Q'(\bar{x})$. We will also write $\mathbf{V}_i = \sigma_{\mathbf{V}}$ for $\bigwedge_{V \in \sigma_{\mathbf{V}}} Q^i_V = V$ and $\mathbf{V}_1 = \mathbf{V}_2$ for $\bigwedge_{V \in \sigma_{\mathbf{V}}} Q^1_V = Q^2_V$. Because $\mathbf{V}{\twoheadrightarrow}Q$, we have that $\mathbf{V}_1 = \mathbf{V}_2 \models Q_1 = Q_2$. In other words, for instances over the schema $\sigma_1 \cup \sigma_2 \cup \sigma_{\mathbf{V}}$ we have:

$$\mathbf{V}_1 = \sigma_{\mathbf{V}} \wedge \mathbf{V}_2 = \sigma_{\mathbf{V}} \models Q_1 = Q_2.$$

Let k be the arity of Q and let $\bar{c}$ be a vector of k constant symbols. For instances over the schema $\sigma_1 \cup \sigma_2 \cup \sigma_{\mathbf{V}} \cup \bar{c}$ we have:

$$(\mathbf{V}_1 = \sigma_{\mathbf{V}} \wedge \mathbf{V}_2 = \sigma_{\mathbf{V}}) \models Q_1(\bar{c}) \leftrightarrow Q_2(\bar{c})$$

This implies:

$$(\mathbf{V}_1 = \sigma_{\mathbf{V}} \wedge Q_1(\bar{c})) \models (\mathbf{V}_2 = \sigma_{\mathbf{V}} \to Q_2(\bar{c}))$$

Note that the schema common to both sentences is $\sigma_{\mathbf{V}} \cup \bar{c}$. We can now apply Craig's Interpolation Theorem (see [10]) to the sentences above and obtain an FO formula $\theta(\sigma_{\mathbf{V}})(\bar{c})$ over schema $\sigma_{\mathbf{V}} \cup \bar{c}$ such that:

$$(\dagger)\quad (\mathbf{V}_1 = \sigma_{\mathbf{V}} \wedge Q_1(\bar{c})) \models \theta(\sigma_{\mathbf{V}})(\bar{c})$$

and

$$(\ddagger)\quad \theta(\sigma_{\mathbf{V}})(\bar{c}) \models (\mathbf{V}_2 = \sigma_{\mathbf{V}} \to Q_2(\bar{c}))$$

We now show that $\theta(\sigma_{\mathbf{V}})$ is a rewriting of Q using V. From ($\dagger$) we obtain (renaming symbols in σ_1 to symbols in σ): $\mathbf{V} = \sigma_{\mathbf{V}} \models Q(\bar{c}) \to \theta(\sigma_{\mathbf{V}})(\bar{c})$ and from ($\ddagger$) $\mathbf{V} = \sigma_{\mathbf{V}} \models \theta(\sigma_{\mathbf{V}})(\bar{c}) \to Q(\bar{c})$. We thus have: $\mathbf{V} = \sigma_{\mathbf{V}} \models q(\sigma)(\bar{c}) \leftrightarrow \theta(\sigma_{\mathbf{V}})(\bar{c})$ and by universality of constants $\mathbf{V} = \sigma_{\mathbf{V}} \models Q = \theta(\sigma_{\mathbf{V}})$. □

The proof of Theorem 3.1 relies crucially on Craig's Interpolation Theorem. This fundamental result in model theory holds for unrestricted instances but fails in the finite case [15]. Indeed, Theorem 3.1 does not hold in the finite case, as shown next.

Example 3.2 Let σ be a database schema and $\sigma_{\leq}$ the extension of σ with a binary relation "$\leq$". Let $\varphi(\leq)$ be an FO sentence over $\sigma_{\leq}$. Let ψ be the FO sentence checking that $\leq$ is a linear order. Now consider the set of views $\mathbf{V}$ with $\sigma_{\mathbf{V}} = \sigma \cup \{R_\psi\}$, where R_ψ is zero-ary. $\mathbf{V}$ returns the value of ψ (in R_ψ) and the content of R for each $R \in \sigma$. Let Q_φ be the query $\psi \wedge \varphi(\leq)$. It it easy to verify that if φ is order invariant (i.e. its answer is independent of the choice of the order relation $\leq$) then $\mathbf{V}{\twoheadrightarrow}Q_\varphi$. If FO is complete for FO-to-FO rewritings in the finite, then there exists an FO query θ over $\sigma_{\mathbf{V}} = \sigma \cup \{R_\psi\}$ such that Q_φ is equivalent to θ. On ordered

finite instances, this means that $\varphi(\leq)$ is equivalent to $\theta(true/R_\psi)$, obtained by replacing the proposition R_ψ with true in θ. However, Gurevich has shown that there exist order-invariant FO queries $\varphi(\leq)$ expressing queries that are not finitely definable in FO (see Exercise 17.27 in [2]). This is a contradiction.

We now proceed to CQs.

THEOREM 3.3. *In the unrestricted case, CQ is complete for CQ-to-CQ rewritings.*

PROOF. We start by developing the notation and terminology needed for the proof. Let σ be a database schema and $Q(\bar{x})$ a CQ over σ with free variables $\bar{x}$. The *frozen body of* Q, denoted $[Q]$, is the instance over σ such that $(x_1, \ldots, x_k) \in R$ iff $R(x_1, \ldots, x_k)$ is an atom in Q. For a set $\mathbf{V}$ of CQs, $[\mathbf{V}]$ is the union of the $[Q]$'s for all $Q \in \mathbf{V}$. For a mapping α from variables to variables and constants, we denote by $\alpha([Q])$ the instance obtained by applying α to all variables in $[Q]$.

Recall that a tuple $\bar{c}$ is in $Q(D)$ iff there exists a homomorphism $h_{\bar{c}}$ from $[Q]$ to D such that $h_{\bar{c}}(\bar{x}) = \bar{c}$. In this case we say that $h_{\bar{c}}$ *witnesses* $\bar{c} \in Q(D)$, or that $\bar{c} \in Q(D)$ via $h_{\bar{c}}$.

Given two database instances D and D' over σ, we say that D' is an *extension* of D if $adom(D) \subseteq adom(D')$ and the restriction of D' to $adom(D)$ is D.

Let $\mathbf{V}$ be a CQ view from $\mathcal{I}(\sigma)$ to $\mathcal{I}(\sigma_\mathbf{V})$. Let D be a database instance over σ and S be $\mathbf{V}(D)$. For each extension S' of S we define the $\mathbf{V}$*-inverse of* S' *relative to* D, denoted $\mathbf{V}_D^{-1}(S')$, as the instance $D' \supseteq D$ over σ defined as follows. Let V be a relation in $\sigma_\mathbf{V}$, with corresponding query $Q_V(\bar{x})$. For every tuple $\bar{y}$ belonging to V in S' such that $\bar{y}$ contains at least one element not in $adom(S)$, we add to D the tuples of $\alpha_{\bar{y}}([Q_V])$ where $\alpha_{\bar{y}}(\bar{x}) = \bar{y}$ and $\alpha_{\bar{y}}$ maps every variable of $[Q_V]$ not in $\bar{x}$ to some new distinct value. In other words, $\mathbf{V}_D^{-1}(S')$ is obtained as a *chase* of S' starting from D.

We will use the following classical lemma:

LEMMA 3.4. *Let D be a database instance, $S = \mathbf{V}(D)$, and $D' = \mathbf{V}_\emptyset^{-1}(S)$. Then there exists a homomorphism h from D' to D which is the identity on $adom(D)$.*

We can now prove the following key observation:

PROPOSITION 3.5. *Let $Q(\bar{x})$ be a CQ and $S = \mathbf{V}([Q])$. Let $Q_\mathbf{V}(\bar{x})$ be the CQ over $\sigma_\mathbf{V}$ for which $[Q_\mathbf{V}] = S$. We have the following:*

(i) $Q_\mathbf{V} \circ \mathbf{V}$ is equivalent to the CQ whose frozen body is $\mathbf{V}_\emptyset^{-1}(S)$;

(ii) $Q \subseteq Q_\mathbf{V} \circ \mathbf{V}$;

(iii) If $\bar{x} \in Q(\mathbf{V}_\emptyset^{-1}(S))$ then $Q = Q_\mathbf{V} \circ \mathbf{V}$. In particular, $\mathbf{V} \twoheadrightarrow Q$.

PROOF. Part (i) is obvious from the definition of $\mathbf{V}_\emptyset^{-1}(S)$, which is essentially an unfolding of $Q_\mathbf{V} \circ \mathbf{V}$. Part (ii) follows from (i) and Lemma 3.4 with $D = [Q]$. Consider (iii). If $\bar{x} \in Q(\mathbf{V}_\emptyset^{-1}(S))$ this means that there exists a homomorphism from $[Q]$ to $\mathbf{V}_\emptyset^{-1}(S)$ that fixes $\bar{x}$. By the Homomorphism Theorem for CQs [9], the query whose frozen body is $\mathbf{V}_\emptyset^{-1}(S)$ is included in Q. By (i), $Q_\mathbf{V} \circ \mathbf{V} \subseteq Q$. In conjunction with (ii), this implies $Q = Q_\mathbf{V} \circ \mathbf{V}$. □

We next show that $\mathbf{V} \twoheadrightarrow Q$ implies $Q = Q_\mathbf{V} \circ \mathbf{V}$. The proof is by contradiction. Suppose $\mathbf{V} \twoheadrightarrow Q$ but $Q \neq Q_\mathbf{V} \circ \mathbf{V}$. By (iii) above, this means that $\bar{x} \notin Q(\mathbf{V}_\emptyset^{-1}(S))$. Under this assumption, we will construct two instances D_∞ and D'_∞ such that $\mathbf{V}(D_\infty) = \mathbf{V}(D'_\infty)$ but $Q(D_\infty) \neq Q(D'_\infty)$, thus reaching a contradiction.

D_∞ and D'_∞ are constructed as follows. We first define inductively a sequence of instances $\{D_k, S_k, S'_k, D'_k\}_{k\geq 0}$, constructed essentially by a chase procedure. We will define $D_\infty = \bigcup_k D_k$ and $D'_\infty = \bigcup_k D'_k$. For the basis, $D_0 = [Q]$, $S_0 = \mathbf{V}([Q])$, $S'_0 = \emptyset$, and $D'_0 = \mathbf{V}_\emptyset^{-1}(S_0)$. Inductively, $S'_{k+1} = \mathbf{V}(D'_k)$, $D_{k+1} = \mathbf{V}_{D_k}^{-1}(S'_{k+1})$, $S_{k+1} = \mathbf{V}(D_{k+1})$, and $D'_{k+1} = \mathbf{V}_{D'_k}^{-1}(S'_{k+1})$. We have the following useful properties which can be proved by induction on k.

PROPOSITION 3.6. *For every $k \geq 0$:*

1. *there exists a homomorphism g_k from D'_k to D_k which is the identity on $adom(D_k)$*
2. *S'_{k+1} is an extension of S_k*
3. *D_{k+1} is an extension of D_k and there exists a homomorphism h_k from D_{k+1} to D_k which is the identity on $adom(D_k)$*
4. *S_{k+1} is an extension of S'_{k+1}*
5. *D'_{k+1} is an extension of D'_k and there exists a homomorphism h'_k from D'_{k+1} to D'_k which is the identity on $adom(D'_k)$*

Continuing with the proof of Theorem 3.3, recall that $D_\infty = \bigcup_k D_k$ and $D'_\infty = \bigcup_k D'_k$, and let $S_\infty = \bigcup_k S_k$ and $S'_\infty = \bigcup_k S'_k$. From parts 2 and 4 of Proposition 3.6 it follows that $S_\infty = S'_\infty$. By construction, $\mathbf{V}(D_\infty) = S_\infty$ and $S'_\infty = \mathbf{V}(D'_\infty)$. Therefore we have $\mathbf{V}(D_\infty) = \mathbf{V}(D'_\infty)$. On the other hand, $Q(D_\infty) \neq Q(D'_\infty)$. Indeed, $\bar{x} \in Q([Q]) = Q(D_0)$, so $\bar{x} \in Q(D_\infty)$. However, $\bar{x} \notin Q(D'_\infty)$. Indeed, suppose $\bar{x} \in Q(D'_\infty)$. Since the $\{D'_k\}_{k\geq 0}$ is a chain of extensions, $\bar{x} \in Q(D'_k)$ for some k. By repeatedly applying the homomorphism

h'_k from part 3 of Proposition 3.6 and using the fact that $\bar{x} \in adom(D'_0)$, it follows that $\bar{x} \in Q(D'_0)$. In other words, $\bar{x} \in Q(\mathbf{V}_\emptyset^{-1}(\mathbf{V}([Q])))$ a contradiction.

Altogether we have seen that, given $\mathbf{V}$ and Q in CQ, $\mathbf{V} \twoheadrightarrow Q$ iff $\bar{x} \in Q(D')$. By Proposition 3.5 this implies that $\mathbf{V} \twoheadrightarrow Q$ iff Q can be rewritten in terms of $\mathbf{V}$ using $Q_\mathbf{V}$ in CQ. This concludes the proof of Theorem 3.3.

The proof of Theorem 3.3 also provides a decision procedure for determinacy of CQ views and queries in the unrestricted case: $\mathbf{V} \twoheadrightarrow Q$ iff Q is equivalent to $\mathbf{V}_\emptyset^{-1}(\mathbf{V}([Q]))$. Thus, we have:

THEOREM 3.7. *In the unrestricted case, it is decidable if $\mathbf{V} \twoheadrightarrow Q$ for conjunctive views $\mathbf{V}$ and query Q.*

Unfortunately, the positive results on CQs do not extend even to slightly more powerful languages, such as UCQs. Indeed, we can show the following.

THEOREM 3.8. *(i) In the unrestricted case, given UCQs $\mathbf{V}$ and Q, it is undecidable whether $\mathbf{V} \twoheadrightarrow Q$.*
(ii) In the unrestricted case, no monotonic language is complete for UCQ-to-UCQ rewritings.

PROOF. In Section 4 (Theorem 4.5), we show (i) for the finite case by reduction from the word problem for finite monoids, known to be undecidable. Since the word problem remains undecidable for arbitrary monoids [23], the same reduction shows (i) in the unrestricted case. Part (ii) follows from the fact that there exist UCQ views $\mathbf{V}$ and query Q such that $\mathbf{V} \twoheadrightarrow Q$ but $Q_\mathbf{V}$ is non-monotonic. This is shown for the finite case in Proposition 5.8, and carries over to the unrestricted case. □

4. FINITE DETERMINACY

In this section, we consider determinacy when database instances are restricted to be finite. Instances are henceforth assumed to be finite in all definitions, including determinacy. We begin with several easy but useful observations.

PROPOSITION 4.1. *Let $\mathcal{Q}$ and $\mathcal{V}$ be languages such that satisfiability of sentences in $\mathcal{Q}$ is undecidable or validity of sentences in $\mathcal{V}$ is undecidable. Then it is undecidable whether $\mathbf{V} \twoheadrightarrow Q$, where Q is in $\mathcal{Q}$ and $\mathbf{V}$ is a set of views defined in $\mathcal{V}$.*

PROOF. Suppose first that satisfiability of sentences in $\mathcal{Q}$ is undecidable. Let φ be a sentence in $\mathcal{Q}$ over database schema σ. Consider the database schema $\sigma \cup \{R\}$ where R is unary. Let $\mathbf{V}$ be empty and $Q = \varphi \wedge R(x)$. Clearly, $\mathbf{V} \twoheadrightarrow Q$ iff φ is unsatisfiable.

Next, suppose validity of sentences in $\mathcal{V}$ is undecidable. Let φ be a sentence in $\mathcal{V}$ over schema σ. Consider the database schema $\sigma \cup \{R\}$ with R unary, and $\mathbf{V}$ consisting of the view $\varphi \wedge R(x)$. Let Q consist of the query $R(x)$. Clearly, $\mathbf{V} \twoheadrightarrow Q$ iff φ is valid. □

COROLLARY 4.2. *If $\mathcal{V}$ is FO or $\mathcal{Q}$ is FO, it is undecidable whether $\mathbf{V} \twoheadrightarrow Q$ for views $\mathbf{V}$ in $\mathcal{V}$ and queries Q in $\mathcal{Q}$.*

In looking for fragments of FO for which determinacy might be decidable, it is tempting to reduce determinacy to satisfiability testing. This can be done as follows. Let σ be a database schema and $\mathbf{V}$ and Q be views and a query over σ. Let σ_1 and σ_2 be two disjoint copies of σ, and $\mathbf{V}_i, Q_i$ $(i = 1, 2)$ versions of $\mathbf{V}$ and Q operating on σ_1 and σ_2. Consider the FO sentence φ over $\sigma_1 \cup \sigma_2$:

$$\forall \bar{x}(\mathbf{V}_1(\bar{x}) \leftrightarrow \mathbf{V}_2(\bar{x})) \wedge \exists \bar{y}(Q_1(\bar{y}) \wedge \neg Q_2(\bar{y})).$$

Clearly, $\mathbf{V} \twoheadrightarrow Q$ iff φ is not finitely satisfiable. Unfortunately, even for CQ views and queries, φ does not belong to an FO fragment known to have a decidable satisfiability problem [5]. Thus, we need to take advantage of the finer structure of the query languages we consider in order to settle decidability of determinacy.

Finally, suppose $\mathbf{V} \twoheadrightarrow Q$. The following provides useful information on the behavior of the mapping $Q_\mathbf{V}$ associating to every instance $\mathbf{V}(D)$ in the image of $\mathbf{V}$ the corresponding $Q(D)$.

PROPOSITION 4.3. *Suppose $\mathbf{V}$ and Q are computable views and queries over database schema σ and $\mathbf{V} \twoheadrightarrow Q$. Let $Q_\mathbf{V}$ be the mapping associating to every instance in the image of $\mathbf{V}$ the corresponding value of Q. Then $Q_\mathbf{V}$ is generic and computable. In particular, for all $D \in \mathcal{I}(\sigma)$: (i) $adom(Q(D)) \subseteq adom(\mathbf{V}(D))$, and (ii) every permutation of $\mathbf{dom}$ that is an automorphism of $\mathbf{V}(D)$ is also an automorphism of $Q(D)$.*

REMARK 4.4. *Suppose $\mathbf{V}$ and Q are as in Proposition 4.3 and $Q_\mathbf{V}$ halts on every instance in the image of $\mathbf{V}$. One may wonder if $Q_\mathbf{V}$ can be extended to a computable, halting query on* all *of $\mathcal{I}(\sigma_\mathbf{V})$. A simple recursion-theoretic argument shows that this is not the case. In fact, there exist $\mathbf{V}$ and Q both in FO for which $\mathbf{V} \twoheadrightarrow Q$ but $Q_\mathbf{V}$ cannot be extended to a halting computable query on all of $\mathcal{I}(\sigma_\mathbf{V})$.*

Unions of conjunctive queries We have seen that determinacy is undecidable for FO views and queries, and indeed for any query language with undecidable satisfiability problem and any view language with undecidable validity problem. However, determinacy remains undecidable for much weaker languages. We show next that this holds even for UCQs. The undecidability result is quite strong, as it holds for a fixed database schema, a fixed view, and UCQs using no constant values.

THEOREM 4.5. *There exists a database schema σ and a fixed view $\mathbf{V}$ over σ defined by UCQs without constants, for which it is undecidable, given a UCQ query Q without constants over σ, whether $\mathbf{V} \twoheadrightarrow Q$.*

PROOF. The proof is by reduction from the word problem for finite monoids: Consider a finite set H of equations of the form $x \cdot y = z$, where x, y, z are symbols. Let F be an equation of the form $x = y$. Given a monoid $(M, \circ)$, the symbols are interpreted as elements in M and $\cdot$ as the operation $\circ$ of the monoid. Given H and F as above, the word problem for finite monoids asks whether H implies F over all finite monoids. This is known to be undecidable [19].

For the purpose of this proof, we will not work directly on monoids but rather on *monoidal* operations. Let X be a finite set. A function $f : X \times X \to X$ is said to be *monoidal* if it is complete (total and onto) and defines an associative operation. The operation of a monoid is always monoidal due to the presence of an identity element. It is immediate to extend Gurevich's undecidability result to monoidal functions by augmenting a monoidal function, if needed, with an identity element.

We construct a view $\mathbf{V}$ and, given H and F as above, a query $Q_{H,F}$ such that $\mathbf{V} \twoheadrightarrow Q_{H,F}$ iff H implies F over all finite monoidal functions. We proceed in two steps. We construct $\mathbf{V}$ and $Q_{H,F}$ in $\text{UCQ}^{=}$, then we show how equality can be removed.

Consider the database schema $\sigma = \{R, p_1, p_2\}$ where R is ternary and p_1, p_2 are zero-ary (propositions). We intend to represent $x \cdot y = z$ by $R(x, y, z)$.

A ternary relation R is *monoidal* if it is the graph of a monoidal function. That is, R is the graph of a (i) complete (ii) function which is (iii) associative. We construct a view $\mathbf{V}$ which essentially checks that R is monoidal.

In order to do this we check that

(i) $\{x \mid \exists y, z\ R(x, y, z)\} = \{y \mid \exists x, z\ R(x, y, z)\} = \{z \mid \exists x, y\ R(x, y, z)\}$,

(ii) $\{(z, z') \mid \exists x, y R(x, y, z) \wedge R(x, y, z')\} = \{(z, z') \mid z = z'\}$,

(iii) $\{(w, w') \mid \exists x, y, z, u, v\ R(x, y, u) \wedge R(u, z, w) \wedge R(y, z, v) \wedge R(x, v, w')\} = \{(w, w') \mid w = w'\}$.

This is encoded in the view $\mathbf{V}$ as follows.

Let $Q_{V_1}(x, y, z)$ be $R(x, y, z)$, Q_{V_2} be $p_1 \vee p_2$, Q_{V_3} be $p_1 \wedge p_2$, and, for each equation α of the form $S = T$ in the list above let Q_{V_α} be $(p_1 \wedge S) \vee (p_2 \wedge T)$. Let $\mathbf{V}$ consist of the queries Q_{V_i} and Q_{V_α} so defined.

We thus have: If D_1 and D_2 are such that $\mathbf{V}(D_1) = \mathbf{V}(D_2)$ and exactly one of p_1, p_2 is true in D_1 and the other in D_2, then R is monoidal.

We now define $Q_{H,F}$. Given H and $F = \{x = y\}$, we set $\psi_{H,F}(x, y)$ to $\exists \bar{u}\ \bigwedge_{u_1 \cdot u_2 = u_3 \in H} R(u_1, u_2, u_3)$ (in the formula all the variables are quantified except for x and y). Let $Q_{H,F}(x, y)$ be $(p_1 \wedge p_2) \vee (p_1 \wedge \psi_{H,F}(x, y) \wedge x = y) \vee (p_2 \wedge \psi_{H,F}(x, y))$.

We claim that $\mathbf{V} \twoheadrightarrow Q_{H,F}$ iff H implies F over all finite monoidal functions.

Assume first that H implies F on all finite monoidal functions. Consider D_1 and D_2 such that $\mathbf{V}(D_1) = \mathbf{V}(D_2)$. If V_3 is true then $Q_{H,F}(D_1) = Q_{H,F}(D_2) = \mathit{adom(R)} \times \mathit{adom(R)}$. If V_3 and V_2 are false then $Q_{H,F}(D_1) = Q_{H,F}(D_2) = \emptyset$. In the remaining case exactly one of p_1, p_2 is true in D_1 and in D_2. If it is the same for D_1 and D_2 then we immediately have $Q_{H,F}(D_1) = Q_{H,F}(D_2)$. Otherwise we have that D_1 and D_2 agree on R (by V_1) and R is monoidal (by the remark above). Since H implies F on monoidal functions, $\psi_{H,F}(x, y) \Rightarrow x = y$. This yields $Q_{H,F}(D_1) = Q_{H,F}(D_2)$.

Assume now that $\mathbf{V} \twoheadrightarrow Q_{H,F}$. Let R be a monoidal graph. Consider the extension D_1 of R with p_1 true and p_2 false, and the extension D_2 of R with p_1 false and p_2 true. We have $D_1 \models p_1 \wedge \neg p_2$, $D_2 \models \neg p_1 \wedge p_2$ and $\mathbf{V}(D_1) = \mathbf{V}(D_2)$. Therefore $Q_{H,F}(D_1) = Q_{H,F}(D_2)$ and $\psi_{H,F}(x, y) \Rightarrow x = y$. Thus R verifies H implies F.

In the above, equality is used explicitly in the view and query. Equality can be avoided as follows. A relation R is said to be *pseudo-monoidal* if there exists an equivalence relation $\simeq$ over the domain of R such that $\simeq$ is a congruence for R and $R/\simeq$ is monoidal. In other words, $R(x, y, z)$ and $R(x, y, z')$ may hold for distinct z and z', but z and z' are *equivalent* with respect to R, i.e. they cannot be distinguished using R. This can be enforced using the following equalities:

$\{(u, v, z, z') \mid \exists x, y\ R(x, y, z) \wedge R(x, y, z') \wedge R(z, u, v)\} = \{(u, v, z, z') \mid \exists x, y\ R(x, y, z) \wedge R(x, y, z') \wedge R(z', u, v)\}$,

$\{(u, v, z, z') \mid \exists x, y\ R(x, y, z) \wedge R(x, y, z') \wedge R(u, z, v)\} = \{(u, v, z, z') \mid \exists x, y\ R(x, y, z) \wedge R(x, y, z') \wedge R(u, z', v)\}$, and

$\{(u, v, z, z') \mid \exists x, y\ R(x, y, z) \wedge R(x, y, z') \wedge R(u, v, z)\} = \{(u, v, z, z') \mid \exists x, y\ R(x, y, z) \wedge R(x, y, z') \wedge R(u, v, z')\}$.

We modify $\mathbf{V}$ by replacing the query that checks that R is the graph of a function (equation (ii)) by a set of queries corresponding to the new equations above and, replacing in the others all equalities $x = y$ by $\exists u, v\ R(u, v, x) \wedge R(u, v, y)$. We also modify the query $Q_{H,F}$, by replacing all equalities $x = y$ by $\exists u, v\ R(u, v, x) \wedge R(u, v, y)$.

As before, we can show that $\mathbf{V} \twoheadrightarrow Q_{H,F}$ iff H implies F over all finite pseudo-monoidal functions. The latter is undecidable, since implication over finite pseudo-monoidal functions is the same as implication over finite monoidal functions. This follows from the fact that a pseudo-monoidal function can be turned into a monoidal function by taking the quotient with $\simeq$ defined by $x \simeq y$ iff $\exists u, v\ R(u, v, x) \wedge R(u, v, y)$.

Finally, note that the zero-ary relations p_1 and p_2 can be avoided in the database schema if so desired by using instead two Boolean CQs over some non-zero-ary relation, whose truth values are independent of each other. For example, such sentences using a binary relation P might be $p_1 = \exists x, y, z\ P(x, y) \wedge P(y, z) \wedge P(z, x)$ and $p_2 = \exists x, y\ P(x, y) \wedge P(y, x)$. □

Conjunctive queries The decidability of determinacy for conjunctive views and queries remains open, and appears to be a hard question. The problem is only settled for some special fragments of CQs. We can show that determinacy is decidable for Boolean or single unary CQ views, and arbitrary CQ queries. Furthermore, CQ is complete for rewritings of such queries and views.

THEOREM 4.6. *It is decidable, given a CQ view V with Boolean or unary answer and a CQ query Q, whether $V \twoheadrightarrow Q$.*

The above result can be extended to (U)CQ$^{(\neq)}$ queries.

5. FINITE REWRITING

In this section we consider the problem of rewriting a query Q in terms of a view $\mathbf{V}$, assuming that $\mathbf{V} \twoheadrightarrow Q$.

In the unrestricted case, we have seen that FO is complete for FO-to-FO rewritings. The proof relied on Craig's Interpolation theorem. As we have seen (Example 3.2), neither the proof technique nor the result carry over to the finite case. Indeed, we show the following.

THEOREM 5.1. *If $\mathcal{R}$ is complete for FO-to-FO rewritings then $\mathcal{R}$ expresses all computable queries.*

PROOF. Let M be an arbitrary Turing machine expressing a total, computable query q whose inputs and outputs are graphs (the argument can be easily extended to arbitrary schemas). More precisely, consider a directed graph G with sets of nodes $adom(G)$, and $\leq$ a total order on the nodes. We consider a standard encoding $enc_{\leq}(G)$ of G as a string in $\{0,1\}^*$ of length $|adom(G)|^2$ whose $< i, j >$-th position in lexicographic order is 1 iff $< a_i, a_j > \in E$ where a_i and a_j have rank i resp. j with respect to the order $\leq$. M computes q iff M on input $enc_{\leq}(G)$ halts with output $enc_{\leq}(q(G))$, for every total order $\leq$ on $adom(G)$. Consider the database schema $\sigma = \{R_1, R_2, \leq, T\}$ where R_1, R_2 and $\leq$ are binary, and T is ternary. The intended meaning is that R_1 is the input graph, $\leq$ is a total order over some set $D \supseteq adom(R_1)$ with $adom(R_1)$ as initial elements, and T represents a halting computation of M on input $enc_{\leq}(R_1)$, with output $enc_{\leq}(R_2)$. More specifically, $T(i, j, c)$ holds if in the i-th configuration of M, the content of the j-th tape cell is c (the position of the head and the state are encoded in tape symbols). In the encoding, i is represented by the element of rank i in the ordering $\leq$. Using standard techniques (see for instance [2]) one can construct an FO sentence φ_M over σ stating that $\leq$ is indeed a total order including $adom(G)$ as initial elements, and that T is a correct representation of a halting computation of M on input $enc_{\leq}(R_1)$, with output $enc_{\leq}(R_2)$. Let $\mathbf{V}$ be the view with $\sigma_{\mathbf{V}} = \{R_1\}$ defined by $Q_{R_1} = \varphi_M \wedge R_1(x, y)$, and Q be the query $\varphi_M \wedge R_2(x, y)$.

We claim that $\mathbf{V} \twoheadrightarrow Q$ and $Q = q \circ \mathbf{V}$. Indeed if $\mathbf{V}$ is empty then either φ_M is false or R_1 is empty. In both cases Q is empty (note that by genericity $q(\emptyset) = \emptyset$) and so $Q = q \circ \mathbf{V}$. If $\mathbf{V}$ is not empty then φ_M holds and $\mathbf{V}$ returns R_1. Therefore Q equals $R_2 = q(R_1)$. Thus, $Q = q \circ \mathbf{V}$. □

Existential FO views We have seen in Theorem 5.1 that for FO views and queries, the query answering problem is Turing complete. We now show that, when the views are defined in ∃FO, the complexity of query answering goes down to **NP** ∩ co-**NP**. By Fagin's Theorem (see [20]) this implies that ∃SO and ∀SO are complete for ∃FO-to-FO rewritings. We also show that this bound is tight using a logical characterization of **NP** ∩ co-**NP** by means of implicit definability [21, 16]. First, we show:

THEOREM 5.2. *∃SO and ∀SO are both complete for ∃FO-to-FO rewritings.*

PROOF. Let $\mathbf{V}$ be a view from $\mathcal{I}(\sigma)$ to $\mathcal{I}(\sigma_{\mathbf{V}})$ defined in ∃FO. Let S be an instance over $\mathcal{I}(\sigma_{\mathbf{V}})$ in the image of $\mathbf{V}$. We start with the following lemma showing that among the database instances D such that $\mathbf{V}(D) = S$ there is one of size polynomial in $|S|$.

LEMMA 5.3. *Let $\mathbf{V}$ be defined in ∃FO and k be the maximum number of variables in a view definition of $\mathbf{V}$, in prenex form. If $S \in \mathcal{I}(\sigma_{\mathbf{V}})$ and S is in the image of $\mathbf{V}$ then there exists $D \in \mathcal{I}(\sigma)$ such that $\mathbf{V}(D) = S$ and $|adom(D)| \leq k|adom(S)|^k$.*

PROOF. Let $S, \mathbf{V}$ be as in the statement. Let $D' \in \mathcal{I}(\sigma)$ be such that $\mathbf{V}(D') = S$. Consider $V \in \mathbf{V}$ with corresponding view Q_V and let $\bar{c}$ be a tuple in $S(V)$ (its arity is at most k). Each such $\bar{c}$ is witnessed by an assignment $\theta_{\bar{c}}$ extending $\bar{c}$ to the existentially quantified variables of Q_V. Let A be the set of all elements of D'

occurring in $\theta_{\bar{c}}$ for some tuple $\bar{c}$ of S. Let D be the restriction of D' to A. By construction, each assignment $\theta_{\bar{c}}$ still witnesses $\bar{c}$. Therefore, $S \subseteq \mathbf{V}(D)$. Because $\mathbf{V}$ is in $\exists$FO, $\mathbf{V}$ is closed under extension, so $\mathbf{V}(D) \subseteq \mathbf{V}(D') = S$. Altogether $\mathbf{V}(D) = S$ and $adom(D) = A$ has size bounded by $k|adom(S)|^k$. □

Assume now that Q is in FO and that $\mathbf{V} \twoheadrightarrow Q$. Let $Q_{\mathbf{V}}$ be the mapping associating to every instance S in the image of $\mathbf{V}$ the corresponding value of Q. Recall that, by Proposition 4.3, $adom(Q_{\mathbf{V}}(S)) \subseteq adom(S)$. By Lemma 5.3 a non-deterministic polynomial algorithm for checking whether a tuple $\bar{c}$ over $adom(S)$ is in $Q_{\mathbf{V}}(S)$ goes as follows: guess a database instance D over σ of size polynomial in $|S|$, check that $\mathbf{V}(D) = S$ and check that $\bar{c} \in Q(D)$. Also from Lemma 5.3 we have the following universal polynomial algorithm: For all database instances D of size polynomial in $|S|$, if $\mathbf{V}(D) = S$, check that $\bar{c} \in Q(D)$. The first algorithm is in **NP** while the second is in co-**NP**. By Fagin's theorem this implies that $\bar{c} \in Q_{\mathbf{V}}(S)$ can be expressed by both $\exists$SO and $\forall$SO formulas $\varphi(\bar{c})$. By universality of constants, it follows that there are $\exists$SO and $\forall$SO formulas $\varphi(\bar{x})$ defining $Q_{\mathbf{V}}$.

We next show that Theorem 5.2 is tight, i.e. every rewriting language complete for $\exists$FO-to-FO rewritings must be able to express all properties in $\exists\text{SO} \cap \forall\text{SO}$. In fact, the lower bound holds even for UCQ-to-FO rewritings.

THEOREM 5.4. *Let τ be a schema. For every query q of instances over τ definable in $\exists SO \cap \forall SO$ there exists a set $\mathbf{V}$ of UCQ views and and an FO sentence Q such that $\mathbf{V} \twoheadrightarrow Q$ and $Q_{\mathbf{V}}$ defines q.*

PROOF. We use a result of [21] (see also [16]) on the expressive power of implicit definability over finite structures. We briefly recall the result and related definitions. Let τ be a database schema. Let q be a query over τ returning a k-ary relation. Such a query q is said to be implicitly definable over τ if the exists a schema $\tau' = \tau \cup \{T, \bar{S}\}$ where T is k-ary and a FO(τ') sentence $\varphi(T, \bar{S})$ such that (i) for all $D \in \mathcal{I}(\tau)$ there exists a sequence $\bar{S}$ of relation over $adom(D)$ such that $D \models \varphi(q(D), \bar{S})$ and (ii) for all relations T and $\bar{S}$ over $adom(R)$ we have $D \models \varphi(T, \bar{S})$ implies $T = Q(D)$.

The set of queries implicitly definable over τ is denoted by GIMP(τ). We use the following known result [21, 16]: GIMP(τ) consists of all queries whose data complexity is **NP** $\cap$ co-**NP**. In view of Fagin's Theorem, this yields:

THEOREM 5.5. *[21, 16] GIMP(τ) consists of all queries over τ expressible in $\exists SO \cap \forall SO$.*

Thus, to establish Theorem 5.4 it is enough to show that every language complete for UCQ-to-FO rewritings must express every query in GIMP(τ).

Let τ be a schema and q a query in GIMP(τ). Thus, there exists an FO sentence $\varphi(T, \bar{S})$ over $\tau' = \tau \cup \{T, \bar{S}\}$ such that q is implicitly defined over τ by $\varphi(T, \bar{S})$. We may assume wlog that $\varphi(T, \bar{S})$ uses only $\wedge, \neg, \exists$.

We construct Q and $\mathbf{V}$ as follows. We first augment τ' with some new relation symbols. For each subformula $\theta(\bar{x})$ of φ with n free variables consider two relation symbols R_θ and $\bar{R}_\theta$ of arity n. In particular, R_φ is a proposition. Let σ be the set of such relations, and $\tau'' = \tau' \cup \sigma$. Given $D \in \mathcal{I}(\tau')$, the intent is for R_θ to contain $\theta(D(\tau'))$ and for $\bar{R}_\theta$ to be the complement of R_θ (for technical reasons, $\bar{R}_\theta$ is needed even when $\neg\theta$ is not a subformula of φ). The interest of the auxiliary relations is that φ can be checked by verifying that each R_θ has the expected content using a straightforward structural induction on θ. This is done by checking simple connections between the relations in σ:

1. $\bar{R}_\theta = adom(D)^k \ - \ R_\theta$, $R_{\neg\theta} = \bar{R}_\theta$,
2. $R_{\theta_1 \wedge \theta_2}(\bar{x}, \bar{y}, \bar{z}) = R_{\theta_1}(\bar{x}, \bar{y}) \wedge R_{\theta_2}(\bar{y}, \bar{z})$, and
3. $R_{\exists x \ \theta(x,\bar{y})}(\bar{y}) = \exists x \ R_{\theta(x,\bar{y})}(x, \bar{y})$.

Let ψ be an FO(τ'') formula which checks that all relations $R_\theta, \bar{R}_\theta \in \sigma$ satisfy (1)-(3) above. Let Q be the query $\psi \wedge \varphi(T, \bar{S}) \wedge \ T(\bar{x})$. Thus, for for $D \in \mathcal{I}(\tau'')$ satisfying φ and for which additionally the relations of $D(\sigma)$ satisfy (1)-(3), $Q(D)$ returns $D(T) = q(D(\tau))$.

We now define a set $\mathbf{V}$ of UCQ views. On input $D \in \mathcal{I}(\tau'')$, a first subset $\mathbf{V}_\tau$ of $\mathbf{V}$ simply returns $D(\tau)$. In particular, $\mathbf{V}_\tau$ provides the active domain A of D. A second set of views, $\mathbf{V}_\sigma$, allows verifying whether the relations in σ satisfy (1)-(3). Specifically, $\mathbf{V}_\sigma$ contains the following:

(i) Views allowing to check (1). To verify that $\bar{R}_\theta$ is the complement of R_θ, we use two views: the first is defined by $R_\theta(\bar{x}) \wedge \bar{R}_\theta(\bar{x})$ and the second $R_\theta(\bar{x}) \vee \bar{R}_\theta(\bar{x})$. If k is the arity of R_θ, (1) holds iff the first view returns $\emptyset$ and the second returns A^k.

(ii) Views allowing to check (2). Let $\theta(\bar{x}, \bar{y}, \bar{z}) = \theta_1(\bar{x}, \bar{y}) \wedge \theta_2(\bar{y}, \bar{z})$, where $\bar{x}, \bar{y}, \bar{z}$ are disjoint sequences of free variables. We use three views. The first is defined by $R_{\theta_1}(\bar{x}, \bar{y}) \wedge R_{\theta_2}(\bar{y}, \bar{z}) \wedge \bar{R}_\theta(\bar{x}, \bar{y}, \bar{z})$, the second by $R_\theta(\bar{x}, \bar{y}, \bar{z}) \wedge \bar{R}_{\theta_1}(\bar{x}, \bar{y})$, and the third by $R_\theta(\bar{x}, \bar{y}, \bar{z}) \wedge \bar{R}_{\theta_2}(\bar{y}, \bar{z})$. Note that (2) holds for θ iff the three views return the empty set.

(iii) Views allowing to check (3). Let $\theta(\bar{y}) = \exists x \theta_1(x, \bar{y})$. We use two views. The first is defined by $\exists x R_{\theta_1}(x, \bar{y}) \wedge \bar{R}_\theta(\bar{y})$, and the second by $\exists x R_{\theta_1}(x, \bar{y}) \vee \bar{R}_\theta(\bar{y})$. If k is the arity of θ then (3) holds iff the first view is empty while the second is A^k.

Finally, $\mathbf{V}$ contains a view V_φ that returns the value of R_φ, which coincides with that of φ. We now claim

that $\mathbf{V} \twoheadrightarrow Q$. Consider $D \in \mathcal{I}(\tau'')$. As described above, the views $\mathbf{V}_\sigma$ provide enough information to determine if the relations in σ satisfy (1)-(3). In particular, this determines the value of ψ. If ψ is false then $Q(D) = \emptyset$. If ψ is true, V_φ provides the value of φ. If φ is false then $Q(D) = \emptyset$. If φ is true then $Q(D)$ returns $D(T) = q(D(\tau))$ which is uniquely determined by $D(\tau)$ by definition of GIMP. Since $\mathbf{V}_\tau$ provides $D(\tau)$, it follows that $\mathbf{V} \twoheadrightarrow Q$.

Now consider $Q_{\mathbf{V}}$. By definition, $Q_{\mathbf{V}}$ computes $q(D(\tau))$ on instances $D \in \mathcal{I}(\sigma_{\mathbf{V}}))$ extending $D(\tau)$ to $\sigma_{\mathbf{V}}$ with relations $\emptyset$ or $adom(D(\tau))^k$ for the view relations in $\mathbf{V}_\sigma$ corresponding to the case when φ is satisfied by the pre-image of the view, as described in (i)-(iii), and with and with *true* for V_φ, corresponding to the case when the pre-image satisfies φ. This extension is trivial, and easily expressible from $D(\tau)$. □

Note that Theorem 5.4 requires only UCQ views and therefore Theorem 5.2 is also tight when views are restricted to UCQs. As an immediate consequence of Theorem 5.4 we have:

COROLLARY 5.6. *Datalog $^\neg$ and fixpoint logic*[1] *FO+LFP are not complete for UCQ-to-FO rewritings.*

We can show that FO is not a complete rewriting language even if the views are restricted to $\text{CQ}^\neg$, where $\text{CQ}^\neg$ is CQ extended with safe negation.

PROPOSITION 5.7. *FO is not complete for $CQ^\neg$-to-FO rewritings.*

PROOF. We revisit Example 3.2. We use a strict linear order $<$ rather than $\leq$. As in the example, we use schemas σ and $\sigma_<$, an FO sentence ψ checking that $<$ is a strict total order, and the FO query $Q_\varphi = \psi \wedge \varphi(<)$ for some order-invariant FO($\sigma_<$) sentence φ which is not FO-definable over σ alone.

Let $\mathbf{V}$ consist of the following views:

1. $x < y \wedge y < x$
2. $x < y \wedge y < z \wedge \neg(x < z)$,
3. for each $R \in \sigma$ of arity k and distinct $i, j \in [1, k]$, one view $R(x_1, \ldots, x_i, \ldots, x_j, \ldots, x_k) \wedge \neg(x_i < x_j) \wedge \neg(x_j < x_i)$
4. for each pair of relations $R_1, R_2 \in \sigma$ and appropriate i, j, one view $R_1(\ldots, x_i, \ldots) \wedge R_2(\ldots, y_j, \ldots) \wedge \neg(x_i < x_j) \wedge \neg(x_j < x_i)$
5. for each $R \in \sigma$, one view returning R.

[1]FO+LFP is FO extended with a least fixpoint operator, see [15].

We claim that $\mathbf{V} \twoheadrightarrow Q_\varphi$. First, note that ψ holds (i.e. $<$ is a strict total order on the domain) iff views (1)-(4) are empty. Indeed, this ensures antisymmetry (1), transitivity (2), and totality (3,4). If any of these views is not empty, then ψ is false so Q is false. Otherwise, Q returns the value of $\varphi(<)$ on the relations in $\sigma_<$, which by the order invariance of $\varphi(<)$ depends only on the relations in σ. These are provided by the views (5). Thus, $\mathbf{V} \twoheadrightarrow Q$ and $Q_{\mathbf{V}}$ allows defining $\varphi(<)$ using just the relations in σ, which cannot be done in FO. □

UCQ views and queries We consider now the case when the views and queries are in UCQ. Unfortunately, UCQ is not complete for UCQ-to-UCQ rewritings, nor are much more powerful languages such as Datalog$^\neq$. Indeed, the following shows that no monotonic language can be complete even for UCQ-to-CQ rewritings. In fact, this holds even for unary databases, views, and queries.

PROPOSITION 5.8. *Any language complete for UCQ-to-CQ rewritings must express non-monotonic queries. Moreover, this holds even if the database relations, views, and query are restricted to be unary.*

PROOF. Consider the schema $\sigma = \{R, P\}$ where R and P are unary. Let $\mathbf{V}$ be the set consisting of the following three views:

$$\begin{array}{ll} Q_{V_1}(x): & \exists u R(u) \wedge P(x) \\ Q_{V_2}(x): & R(x) \vee P(x) \\ Q_{V_3}(x): & R(x). \end{array}$$

Let $Q(x)$ be the query $P(x)$. It is easily seen that $\mathbf{V} \twoheadrightarrow Q$. Indeed, if $R \neq \emptyset$ then V_1 provides the answer to Q; if $R = \emptyset$ then V_2 provides the answer to Q. Finally, V_3 provides R. Therefore, $\mathbf{V} \twoheadrightarrow Q$. Now consider $Q_{\mathbf{V}}$, associating $Q(D)$ to $\mathbf{V}(D)$. We show that $Q_{\mathbf{V}}$ is not monotonic. Indeed, let D_1 be the database instance where $P = \{a, b\}$ and R is empty. Let D_2 consist of $P = \{a\}$ and $R = \{b\}$. Then $\mathbf{V}(D_1) = \langle \emptyset, \{a, b\}, \emptyset \rangle$, $\mathbf{V}(D_2) = \langle \{a\}, \{a, b\}, \{b\} \rangle$, so $\mathbf{V}(D_1) \subseteq \mathbf{V}(D_2)$. However, $Q(D_1) = \{a, b\}$, $Q(D_2) = \{a\}$, and $Q(D_1) \not\subseteq Q(D_2)$. □

As an immediate consequence of Proposition 5.8, we have:

COROLLARY 5.9. *Datalog $^\neq$ is not complete for UCQ-to-CQ rewritings, even if the database, views, and query are restricted to be unary.*

Proposition 5.8 provides a lower bound of sorts for any rewriting language complete for UCQ-to-UCQ rewritings. Another kind of lower bound on the power of rewriting languages complete for UCQ-to-UCQ rewritings follows from the undecidability of determinacy for UCQ views and queries (Theorem 5.2).

PROPOSITION 5.10. *If $\mathcal{R}$ is complete for UCQ-to-UCQ rewritings, then it is undecidable whether a UCQ query Q can be rewritten in terms of a set of UCQ views $\mathbf{V}$ using a query in $\mathcal{R}$.*

Proposition 5.10 provides an immediate alternate proof that UCQ is not complete for UCQ-to-UCQ rewritings. Indeed, by Theorem 3.9 in [22], it is decidable if a UCQ query Q can be rewritten in terms of a set of UCQ views $\mathbf{V}$ using a UCQ.

Recall that, from Theorem 5.2, we know that ∃SO and ∀SO are both complete for UCQ-to-UCQ rewritings. It remains open if we can do better. In particular, we do not know if FO is complete for UCQ-to-UCQ rewritings.

CQ views and queries Conjunctive queries are the most widely used in practice, and the most studied in relation to answering queries using views. Given CQ views $\mathbf{V}$ and a CQ query Q, it is decidable whether Q can be rewritten in terms of $\mathbf{V}$ using another CQ ([22]). However, it remains open whether CQ is complete for CQ-to-CQ rewritings. Note that a positive answer immediately implies decidability of whether $\mathbf{V}{\twoheadrightarrow}Q$, by the above result of [22]. Recall from Section 4 that this problem is also open. Also recall that in the unrestricted case, determinacy is decidable for CQ views and queries (Theorem 3.7), and CQ is complete for CQ-to-CQ rewritings (Theorem 3.3). One way of showing that CQ is complete for CQ-to-CQ rewritings in the finite case would be to show that for CQs, $\mathbf{V}{\twoheadrightarrow}Q$ on finite instances iff $\mathbf{V}{\twoheadrightarrow}Q$ on unrestricted instances and then apply Theorem 3.3. But this problem turns out to be as difficult as the original. When $\mathbf{V}{\twoheadrightarrow}Q$, one may also wonder what properties are required of $Q_{\mathbf{V}}$. For instance, can $Q_{\mathbf{V}}$ be non-monotonic, as in the case of UCQs? Surprisingly, this question turns out to be again as hard as the original. Indeed, we can show:

THEOREM 5.11. *The following are equivalent:*

1. *CQ is complete for CQ-to-CQ rewritings;*
2. *for CQ views $\mathbf{V}$ and queries Q, $\mathbf{V}{\twoheadrightarrow}Q$ on finite instances iff $\mathbf{V}{\twoheadrightarrow}Q$ on unrestricted instances; and,*
3. *for CQ views $\mathbf{V}$ and queries Q for which $\mathbf{V}{\twoheadrightarrow}Q$, the query $Q_{\mathbf{V}}$ is monotonic.*

PROOF. $1 \rightarrow 2$. Suppose 1 holds. Let $\mathbf{V}$ and Q be CQs and suppose $\mathbf{V}{\twoheadrightarrow}Q$ on finite instances. By 1 this implies that $Q = Q_{\mathbf{V}} \circ \mathbf{V}$ over finite instances, for some $Q_{\mathbf{V}}$ in CQ. Since unrestricted and finite CQ equivalence coincide, $Q = Q_{\mathbf{V}} \circ \mathbf{V}$ also for unrestricted instances. Therefore $\mathbf{V}{\twoheadrightarrow}Q$ over unrestricted instances. The converse is trivially true.

$2 \rightarrow 3$. Suppose 2 holds. Let $\mathbf{V}$ and Q be CQs such that $\mathbf{V}{\twoheadrightarrow}Q$. In particular, $\mathbf{V}{\twoheadrightarrow}Q$ over unrestricted instances. By Theorem 3.3, $Q = Q_{\mathbf{V}} \circ \mathbf{V}$ for some $Q_{\mathbf{V}}$ in CQ. This implies that $Q_{\mathbf{V}}$ is monotonic.

$3 \rightarrow 1$. Suppose 3 holds. Let $\mathbf{V}$ and $Q(\bar{x})$ be CQs such that $\mathbf{V}{\twoheadrightarrow}Q$ (finitely). Let $Q_{\mathbf{V}}$ be such that $Q = Q_{\mathbf{V}} \circ \mathbf{V}$. By 3, $Q_{\mathbf{V}}$ is monotonic. We wish to show that $Q_{\mathbf{V}}$ is in CQ. We use the notation developed in the proof of Theorem 3.3. Let $D = [Q]$, $S = \mathbf{V}(D)$, $D' = \mathbf{V}_{\emptyset}^{-1}(S)$ and, $S' = \mathbf{V}(D')$. By construction we have $\bar{x} \in Q(D)$. Therefore $\bar{x} \in Q_{\mathbf{V}}(S)$. By Proposition 3.6 (part 2 with $k = 0$) we have $S \subseteq S'$. Therefore, by monotonicity of $Q_{\mathbf{V}}$, we have $\bar{x} \in Q_{\mathbf{V}}(S')$. This implies $\bar{x} \in Q(D')$. By part (iii) of Proposition 3.5, $Q_{\mathbf{V}}$ is equivalent to the CQ defined there. □

While Theorem 5.2 guarantees that both ∃SO and ∀SO are complete for CQ-to-CQ rewritings, one may wonder if a less powerful language remains complete for such rewritings. This remains open. In particular, we do not know if FO is a complete for CQ-to-CQ rewritings. Interestingly, if inequality is allowed in view definitions, we can show that CQ is *not* a complete rewriting language. In fact, the following provides a lower bound similar to Proposition 5.8.

PROPOSITION 5.12. *Any language complete for CQ $^{\neq}$-to-CQ rewritings must express non-monotonic queries. Moreover, this holds even if the views and query are restricted to be unary.*

PROOF. Let $\sigma = \{R\}$, where R is binary. Consider the view $\mathbf{V}$ defined as follows: $Q_{V_1}(x) = \exists y\ R(x,y) \wedge R(y,x)$, $Q_{V_2}(x) = \exists y\ R(x,y) \wedge R(y,x) \wedge x \neq y$ and $Q_{V_3}(x) = \exists y\ R(x,x) \wedge R(x,y) \wedge R(y,x) \wedge x \neq y$. Consider the query $Q(x)$ defined by $R(x,x)$. It is easy to check that $\mathbf{V}{\twoheadrightarrow}Q$. Indeed Q can be defined by $(V_1 \wedge \neg V_2) \vee V_3$. Consider the databases instances D and D' where R is respectively $\{(a,a)\}$ and $\{(a,b),(b,a)\}$. Then $\mathbf{V}(D) = \langle\{a\},\emptyset,\emptyset\rangle$, $\mathbf{V}(D') = \langle\{a,b\},\{a,b\},\emptyset\rangle$, $Q(D) = \{a\}$ and $Q(D') = \emptyset$. Thus, $\mathbf{V}(D) \subset \mathbf{V}(D')$ but $Q(D) \not\subseteq Q(D')$. Therefore Q cannot be rewritten in terms of $\mathbf{V}$ using a monotonic language. □

From Proposition 5.12 we immediately have:

COROLLARY 5.13. *Datalog $^{\neq}$ is not complete for CQ $^{\neq}$-to-CQ rewritings, even if the views and query are restricted to be unary.*

6. CONCLUSION

The contribution of this paper is a systematic study of determinacy and its connection to rewriting for a variety of view and query languages ranging from FO to CQ, in both the unrestricted and finite cases. While the questions were settled for many languages, several interesting problems remain open. First and foremost, little is known about CQ in the finite case: it remains

open whether determinacy is decidable, or whether CQ is complete for CQ-to-CQ rewritings. We have made some inroads by settling some special cases, and showing the equivalence of several open questions about CQs.

For UCQ, we know that no monotonic language is complete for UCQ-to-CQ rewritings (and similarly for CQ $^{\neg}$-to-CQ rewritings) and we know that $\exists$SO and $\forall$SO are complete for such rewritings. It is of interest to know if there are languages less powerful than $\exists$SO and $\forall$SO that remain complete for UCQ-to-UCQ rewritings, such as FO or FO+LFP. Similarly, short of settling whether CQ is complete for CQ-to-CQ rewritings, it would be of interest to find languages less powerful than $\exists$SO and $\forall$SO that are complete for CQ-to-CQ rewritings.

Determinacy and rewriting naturally lead to the following general problem (solved so far only for simple languages). Suppose $\mathcal{R}$ is complete for $\mathcal{V}$-to-$\mathcal{Q}$ rewritings. Is there an algorithm that, given $\mathbf{V} \in \mathcal{V}$ and $Q \in \mathcal{Q}$ such that $\mathbf{V} \twoheadrightarrow Q$, produces $R \in \mathcal{R}$ such that $Q \Rightarrow_{\mathbf{V}} R$?

Finally, an interesting direction to be explored is *instance-based* determinacy and rewriting, where determinacy and rewriting are considered relative to a *given* view instance. Such results have been obtained for regular path queries in [8].

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